IAPS Rec'd PCT/RTO 19 APR 2000

DMMERCE PATENT AND TRADEMARK OFFICE U.S. DEPARTMENT EY DOCKET NUMBER Form PTO-1390 (REV. 11-2000) TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/528,897 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/NZ2003/000218 24 September 2003 26 September 2002 TITLE OF INVENTION MODULAR BATTERY MANAGEMENT APPARATUS WITH CELL SENSING AND ENERGY REDISTRIBUTION CAPABILITIES APPLICANT(S) FOR DO/EO/US Phillip Mark Hunter; Timothy Clarence Johnson; Darren Tze Chang Lim; Adnan Anbuky Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4) 7. Amendments to the claims of the International Application Under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). \Box have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report Under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 21 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. An Application Data Sheet under 37 CFR 1.76. 16. A substitute specification. 17. A change of power of attorney and/or address letter. 18. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 19. A second copy of the published international application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)

. .

21. Other items or information: Extension of Time (4 months), Part 1 - Notification of Missing Requirements

š							$\langle \rangle$	
				TERNATIONAL APPLICATION NO. T/NZ2003/000218			ATTORNEY DOCKET NO. 9405-4	
21. The following fees are submitted:						CALCULATIONS		
a) Basic nati	a) Basic national fee\$300.00							
b) Examinat	b) Examination fee \$200.00							
c) Search fee	c) Search fee							
		TOTAL	OF AB	OVE CALCULAT	ΓΙΟΝS	S = \$1000.00		
listing or cor	mputer program li	ication and drawings fil sting filed in an electro or fraction thereof.						
Total Sheets	Extra sheets		imber of each additional 50 or fraction thereof (round up to a whole number)			RATE		
-100+	-/50+					X \$250.00	\$	
		urnishing the oath or				⊠ 30 months	\$130.00	
CLAIMS	from the earliest claimed priority date (37 CFR 1 CLAIMS NUMBER FILEI					RATE	\$	L
	Total claims 27 - 20 =			7		x \$ 50.00	\$	
	ent Claims	3-3=		0		x \$200.00	\$	
MULTIPI	LE DEPENDE	ENT CLAIM(S) (if				+ \$360.00	\$	
						CULATIONS =	\$	
	cant claims sma ed by 1/2	ll entity status. See .	37 CFF	(1.27. The fees	indica	ted above are	s	
10000	54 0y 1/2					SUBTOTAL =	\$	
Processing fee of \$130.00 for furnishing the English translation later than 20 30							\$	
	TOTAL NATIONAL FEE =							
Fee for Recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	
TOTAL FEES ENCLOSED =							\$1,720.00	
04/24/2006	GFREY1 00000	0121 10528897					Amount to be	\$
01 FC:1617 130.00 OP					refunded:			
)1 FG:101.							charged:	\$
 a. A check in the amount of \$1,720 is enclosed. (Declaration surcharge = \$130 and four-month extension of time=\$1,590). b. Please charge my Deposit Account No. 50-0220 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. 								
	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0220. A duplicate copy of this sheet is enclosed.							
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
		priate time limit un ore the application t			not b	een met, a petition	to revive (37 CFR 1.137	7(a) or (b)) must be
SEND ALI	SEND ALL CORRESPONDENCE TO:							
Myers	Bigel Sibley &	& Sajovec, P.A.				Kobert M. Meeks		
P. O. I	P. O. Box 37428 Date: April 13, 20							
	Raleigh, North Carolina 27627 Talonhama (010) 854 1400 CERT							iG
	1 elephone. (919) 834-1400							
	Facsimile: (919) 854-1401 Customer No. 20792 I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop PCT, Commissioner Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 13, 2096.							
	Candi L. Riff							

3-



20792

PO BOX 37428 RALEIGH, NC 27627

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dev 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO.

10/528,897

MYERS BIGEL SIBLEY & SAJOVEC

Phillip Mark Hunter

9405-4

PCT/NZ03/00218

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE

PRIORITY DATE

09/24/2003

09/26/2002

CONFIRMATION NO. 1727 371 FORMALITIES LETTER

Management *OC000000017283552*

Date Mailed: 10/20/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/23/2005
- Copy of the International Search Report filed on 03/23/2005
- Preliminary Amendments filed on 03/23/2005
- Information Disclosure Statements filed on 03/23/2005
- U.S. Basic National Fees filed on 03/23/2005
- Priority Documents filed on 03/23/2005

10-24-05 A10:00 IN

The applicant needs to satisfy supplemental fees problems indicated below.

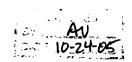
The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/528,897	PCT/NZ03/00218	9405-4

FORM PCT/DO/EO/905 (371 Formalities Notice)